

# PATENT APPLICATION

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## Contact Lens and Ophthalmic Solutions

Chris De Bruijn  
F. Richard Christ  
Anthony J. Dziabo  
Joseph Vigh

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## BACKGROUND OF THE INVENTION

The present invention relates to contact lens and ophthalmic solutions and in particular relates to methods to disinfect and clean soft and rigid gas permeable (RGP) contact lenses, effectively and safely while maintaining convenience and comfort for the contact lens wearer.

### DESCRIPTION OF RELATED ART

Currently available contact lenses are made of hydrogels causing them to be soft so that they can be comfortably worn. Previously, contact lenses were either hard plastic (PMMA) or RGP and required the contact lens wearing patient to adjust to the

Contact lenses are commonly worn on a daily basis and kept in a storage case/solution during the night hours or whenever they are not being worn. During the wear and normal handling of contact lenses, microorganisms as well as biomolecules such as lipids, proteins, etc. can become adhered to the contact lenses and thus transferred to the storage case/solution. Furthermore, a tear film that contains proteins, lipids, and even microorganisms, which represent the natural flora of the ocular surface, covers the surface of the eye. Any of these components found in the tear film or on the external surface of the eye or the surrounding skin can be carried into the storage case/solution on the contact lens.

As has been stated earlier, it is also possible that other materials of biological origin can be transferred to the contact lens during wear and upon handling and transfer

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Disinfection agents typically used for other applications such as hard surface disinfection, instrument disinfection, topical skin disinfection, etc. are not necessarily applicable to contact lens and ophthalmic solutions. The high concentration used and aggressive nature of many of these agents are unsuitable for use with contact lenses due to interaction or damage to the lens or irritation to ocular tissue. "Strong" disinfecting agents are compounds such as thimerosal, chlorhexidine, hydrogen peroxide, and benzalkonium chloride. For example, three (3%) percent hydrogen peroxide instilled

directly in the eye or a lens soaked in hydrogen peroxide and applied to the eye will result in pain and severe irritation.

In the case of hydrogen peroxide, prior art answers to the problem of irritation are disclosed in U.S. Patents 3,912,451, 4,585,488, 5,145,644 and 5,766,931. These references show various methods and chemistries wherein the disinfecting period is followed by a neutralizing step using catalase, an enzyme that catalyzes the breakdown of hydrogen peroxide to water. This approach has found some level of acceptance among contact lens wearers. However, acceptance has remained limited, because of the multiple steps of disinfection, neutralization, and rinsing are not convenient to the wearer. More importantly, the potential exists for the neutralization and rinsing steps to be completed incorrectly (non-compliance) leading to the potential for some residual hydrogen peroxide to come in contact with the surface of the eye with the onset of severe stinging and irritation.

More recently, so-called multipurpose solutions (MPS) with chemical disinfection agents, as disclosed in U.S. Patents 4,407,791, 4,525,346, 4,758,595, 4,820,352, 4,836,956, 5,422,073, 5,560,186, 5,593,637, and 5,756,045, have largely supplanted hydrogen peroxide systems in the marketplace because they are far more convenient than the hydrogen peroxide systems. In this case the wearer need only purchase and use a single solution leading to advantages in cost and convenience. The challenge of disinfection and cleaning without harm to the eye or the lens is particularly acute with the MPS products, however, since all of the various activities, e.g., wetting, contaminant dispersion, and disinfection, are required to co-exist in a single solution without antagonistic effects of one component on the activity of another. Furthermore, because the MPS can be instilled directly into the eye, the active anti-microbial component of these solutions must provide the required degree of pathogen reduction while being free of irritating or damaging sequelae to the surface and the anterior

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Generally therefore the art has found it difficult to formulate these MPS solutions to satisfy the following performance criteria. The successful solution must:

- A prior art alternative to the use of chemical disinfecting agents are phenolic compounds (e.g., bioflavonoids) as disclosed in De Bruijn International Application

PCT/NL97/00092 and Dutch patent NL-1002484. Natural plant derived substances; such as bioflavonoids, can be employed in contact lens care products as natural disinfecting or preserving agents. While the use of bioflavonoids is desirable because they are natural plant products, the majority of bioflavonoids are complex combinations that are difficult to obtain, reproduce and assay.

As background, the method for evaluating the effectiveness of a disinfectant generally requires measuring the ability of the agent to reduce the numbers of viable organisms during a period of time consistent with the normal period of storage of contact lenses between wearings such as six to eight hours, i.e., "overnight". This reduction of numbers of organisms is typically reported in terms of the change in the common log of the microbial population as a result of exposure to the anti-microbial agent. For example, if the agent has effected a reduction in the concentration of a particular organisms in a challenge solution from  $10^6$  colony forming units (cfu) per milliliter (ml) to  $10^2$  cfu/ml within six hours of exposure then the change, or "log reduction", of the organism as a result of exposure to the agent would be 4.0 (logs). In other words, the number of viable organisms have been reduced to one ten-thousandth of the original level.

In procedures for verifying the effectiveness of contact lens disinfectants generally recognized guidelines call for the use of *Candida albicans* (a yeast), *Fusarium solani* (a mold), *Pseudomonas aeruginosa* (a Gram-negative bacterium), *Staphylococcus aureus* (a Gram-positive bacterium), and *Serratia marcescens* (a Gram-negative bacterium). It is generally accepted in the field of ophthalmic and contact lens solutions that an effective disinfectant will cause at least a three log reduction of each of the bacterial species and a

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These tests are most often performed by challenging the solution with a concentrated inoculum (e.g.,  $10^5$ - $10^6$  cfu/ml) of each test organism. Over time samples are taken and plated on a growth medium to estimate the number of live organisms remaining at each time point. Of particular interest is the six-hour time point that represents the duration of typical overnight storage of soft contact lenses. It should be apparent that such a challenge represents a worst case scenario since a far greater number of microbes is added than would ever be expected on a contact lens. Further, it should also be apparent that the results of the test may be significantly influenced by other components of the solution besides the disinfectant agent.

In the case of contact lens and ophthalmic solutions various agents are added to enhance compatibility with the eye. To avoid stinging or irritation it is important that the solution possess a tonicity and pH within the physiological range, e.g., 200-350 mOsmole for tonicity and 6.5-8.5 for pH. To this end, various buffering and osmotic agents are often added. The simplest osmotic agent is sodium chloride since this is a major solute in human tears. In addition propylene glycol, lactulose, trehalose, sorbitol, mannitol or other osmotic agents may also be added to replace some or all of the sodium chloride. Also, various buffer systems such as citrate, phosphate (appropriate mixtures of  $\text{Na}_2\text{HPO}_4$ ,  $\text{NaH}_2\text{PO}_4$ , and  $\text{KH}_2\text{PO}_4$ ), borate (boric acid, sodium borate, potassium tetraborate, potassium metaborate and mixtures), bicarbonate, and tromethamine and other appropriate nitrogen-containing buffers (such as ACES, BES, BICINE, BIS-Tris, BIS-Tris Propane, HEPES, HEPPS,



imidazole, MES, MOPS, PIPES, TAPS, TES, Tricine) can be used to ensure a physiologic pH between about pH 6.5 and 8.5.

Various viscosity building agents such as polyethylene glycol, surfactants, polyvinylpyrrolidone, polyvinyl alcohol, carboxymethyl cellulose and similar materials may be added to adjust the “body” and “feel” of the solution. Surface active agents, such as polysorbates, polyoxyethylenes and certain phosphonates may be added to ensure proper wetting and/or cleaning. Sequestering agents such as ethylenediaminetetraacetic acid (EDTA), phosphonates, citrate, gluconate and tartarate are also common additives for preservatives of, disinfection or cleaning solutions.

To date, the significant challenge in the development of ophthalmic and contact lens solutions, particularly the MPS solutions, has been to find disinfection agents with sufficient anti-microbial activity that are not at the same time damaging to the eye or contact lens. Due to the complex requirements to keep soft, hydrogel contact lenses clean, free of pathogen microbes, and comfortable to wear without damaging or changing the lens polymer or dimensional parameters and without any harm or side effects to the human eye, only very few compounds or systems have been qualified as suitable ophthalmic or contact lens solutions. It is therefore the object of the present invention to provide a solution that combines the use of a chemical agent (BDT) and natural ingredients with the strength of harsh chemical agents such as hydrogen peroxide while avoiding irritation or damage to the eye.

#### SUMMARY OF THE INVENTION

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The present invention concerns the unexpected discovery that the disinfectant compound, BDT (BenzylDimethyl-[2-[2-(p-1,1,3,3 Tetramethyl butyl) phenoxy]ethoxy]ethyl] ammonium chloride), which has been widely tested and used in hospital surface disinfection, topical pharmaceutical preparations, and even as preservatives for injectables has unexpectedly proven highly effective in the disinfection of contact lenses without irritation to the external ocular surfaces or alteration of the parameters of the contact lens itself. Further, it has been discovered that BDT is stable in aqueous solution and can coexist in an formulation with the variety of cleaning, tonicity, and comfort additives common to ophthalmic and contact lens solutions without an adverse effect on its activity. Finally, it has also been discovered that the effectiveness of ophthalmic solutions containing BDT can be successfully enhanced by the addition of naturally occurring plant products.

The basic active ingredient formulation of the present invention comprises an effective concentration of BDT (preferably 1 to 100 parts per million), either alone or with other additives, in an isotonic or nearly isotonic diluent buffered with an acceptable buffer to the physiologically natural range.

It has been discovered that a variety of discrete, isolated and well-characterized natural plant compounds show anti-microbial and cleaning activity. It has also been discovered that some of these anti-microbial compounds act in a synergistic and or complementary manner with other anti-microbial compounds, such as BDT, and thus enhances their anti-microbial action. The potential range of these anti-microbial compounds includes glycosides, alkaloids, phenolics (anthocyanins, quinones, flavonols

Further, it has been discovered that saponins, can be used as a natural plant surface active or cleaning agents in lens solutions. Specifically triterpenoid saponins and steriod saponins are particularly effective in contact lens or ophthalmic solutions. Also, a wide range of other comfort and cleaning enhancers can be added to the basic solution without adverse affects on the activity of these natural compounds or combinations. Suitable additives include, but are not limited to, various wetting, buffering, osmotic, sequestering, and comfort enhancing agents can be added to enhance the final formulation. .

The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventors of carrying out their invention. Various modifications, however, will remain readily apparent to those skilled in the art, since the general principles of the present invention have been defined herein, specifically to provide an improved contact lens care solution.

The following examples will be employed to demonstrate the effectiveness of BDT as well as its compatibility and being complementary with other desirable additives. In progressing through these examples one can understand the “building blocks” of the preferred embodiment of contact lens and ophthalmic solutions incorporating BDT.

### Example 1

Initial tests of BDT were made in a contact lens disinfectant solution containing a sodium phosphate buffer system (0.1% anhydrous monobasic sodium phosphate and 0.4% anhydrous dibasic sodium phosphate) made isotonic with sodium chloride (0.7%) and also including Pluronic F127 (0.2%), a polyoxyethylene. Various concentrations of BDT in these vehicles were tested for anti-microbial activity against three of the five organisms that make up the commonly accepted disinfection test panel. The "log reduction" data at six hours after challenge are shown in Table 1. "Log reduction" is the format of data presentation in all of the examples that follow. From these and additional similar experiments it has been observed that increasing concentration of BDT results in increasing kill of a wide range of microbes in six hours or less.

**Table 1: Effect of BDT Concentration on Microbial Kill**

	15 ppm BDT	25 ppm BDT
<i>S. aureus</i>	1.7	>5.0
<i>P. aeruginosa</i>	1.8	3.4
<i>C. albicans</i>	0.3	1.4

### Example 2

Experiments with a variety of different buffering agents discovered interactions between BDT and the buffer. In particular, a borate-based formula (0.3% boric acid, 0.4% sodium borate, 0.4% sodium chloride and 0.2% Pluronic F127) produced a wider spectrum of microorganism kill than the comparable phosphate-based solution. Especially noteworthy is the enhanced kill of fungus (*Candida albicans*) effected by the use of the borate buffer.

**Table 2: Comparison of Anti-microbial Activity of BDT in B rate vs. Phosphate**

Buffer		
	25 ppm BDT Borate	25 ppm BDT Phosphate
<i>S. aureus</i>	>5.0	>5.0
<i>P. aeruginosa</i>	>5.0	3.4
<i>C. albicans</i>	4.3	1.4

### Example 3

A wide variety of naturally occurring compounds shows anti-microbial activity. In Table 3a results are shown for the enhancement of the kill of *Pseudomonas aeruginosa* using Chlorogenic Acid and Berberine in a phosphate buffered solution with 25 ppm BDT.

**Table 3a: Enhancement of *Pseudomonas* kill with Chlorogenic Acid or Berberine**

	25 ppm BDT	25 ppm BDT 500 ppm Chlorogenic Acid	25 ppm BDT 100 ppm Berberine
<i>P. aeruginosa</i>	3.4	4.5	>5.0

In Table 3b Caffeic Acid, combined with BDT in the presence of borate buffer, 250 ppm Chlorogenic Acid, and 0.2% Glycerin, improves the kill of *Pseudomonas aeruginosa* while maintaining the excellent log reductions for *Staphylococcus aureus* and *Candida albicans*.

**Table 3b: Anti-microbial Enhancement of Caffeic Acid to BDT/Chlorogenic Acid**

	25 ppm BDT/250 ppm Chlorogenic Acid	25 ppm BDT/250 ppm Chlorogenic Acid/ 1000 ppm Caffeic Acid
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<i>P. aeruginosa</i>	2.8	>5.0
<i>S. aureus</i>	>5.0	>5.0
<i>C. albicans</i>	>5.0	3.8

#### Example 4

Table 4 shows the effect of adding 0.2% Glycerin to 25 ppm BDT and 250 ppm chlorogenic acid with borate buffer. In terms of microbiological disinfection this solution is very effective. The addition of Glycerin, a naturally occurring compound has essentially no effect on the disinfectant properties. There is evidence that Glycerin can reduce any minor toxic effects that a disinfectant agent might have on mammalian cells.

**Table 4: Effect of the Addition of Glycerin on Anti-Microbial Activity**

	25 ppm BDT 250 ppm Chlorogenic Acid 0.2% Glycerin	25 ppm BDT 250 ppm Chlorogenic Acid
<i>S. aureus</i>	>5.0	>5.0
<i>P. aeruginosa</i>	3.9	4.4
<i>C. albicans</i>	3.8	4.0

#### Example 5

Table 5 shows the effect of adding 0.2% Decanedioic Acid and 0.2% Glycerin to a formulation consisting of 25 ppm BDT and 1000 ppm Caffeic Acid in Borate Buffer. Decanedioic Acid is believed to improve the ocular comfort of contact lens solutions. As show in Table 5, there is no negative impact on anti-microbial activity with both Glycerin and Decanedioic Acid.

**Table 5: Effect of the Addition of Glycerin + Decanedioic Acid on Anti-Microbial Activity**

	25 ppm BDT 1000 ppm Caffeic Acid	25 ppm BDT 1000 ppm Caffeic Acid 0.2% Glycerin 0.2% Decanedioic Acid
<i>S. aureus</i>	>5.0	>5.0
<i>P. aeruginosa</i>	>5.0	>5.0
<i>C. albicans</i>	3.3	>5.0

#### Example 6

When the solutions were tested on animals, there was no observed toxicity or irritation when the materials were used in a "normal wear" cycle. That is, an isotonic BDT solution at physiological pH was tested on rabbits using Wohlk Weflex lenses. The lenses were disinfected in the test solution or in a control solution overnight. The lenses were then applied to the animals and worn by the animals for 8 hours of every 24 hours. This cycle was repeated for 21 days with no signs of irritation or toxicity. A second study used SUREVUE™ contact lenses. The lenses were soaked in the test solutions for 12 hours and then applied to the eyes of animals for a 12 hour wear cycle. Then a freshly soaked lens was substituted. This exaggerated wear test was on for 14 days of continuous wear of the test period. At the end examination of the animal eyes showed no signs of irritation or toxicity. Equivalent results were noted for the control solution, which was a commercially marketed MPS.

#### Example 7

A clinical study was conducted over a three month period with seven patients wearing SUREVUE™ ( $n=6$ ) and Medalist™ ( $n=1$ ) contact lenses. Patients received new lenses at the beginning of the study. The lenses were soaked overnight in an isotonic BDT test solution at physiological pH and rinsed in saline prior to wear. After 21 days there were no significant slit lamp findings, no irritation of the conjunctiva and no lens

deposits reported. No irritation or other negative findings were found during the tenure of the study.

### Example 8

Table 8-a shows the anti-microbial activity of two naturally-occurring compounds, caffeic acid and chlorogenic acid, at 1000 ppm in borate-buffered saline. The borate-buffered saline alone was used as a control. It is evident that both naturally-occurring compounds have substantial anti-microbial activity against *Pseudomonas aeruginosa* within the six-hour challenge period. Either compound therefore may be useful as a preservative or disinfectant agent in a ophthalmic solutions.

**Table 8-a: Anti-Microbial Activity of Caffeic Acid and Chlorogenic Acid in Borate-Buffered Saline**

	Borate-Buffered Saline	1000 ppm Caffeic Acid	1000 ppm Chlorogenic Acid
<i>S. aureus</i>	0	0.7	0.4
<i>P. aeruginosa</i>	0	>5.0	2.2
<i>C. albicans</i>	0	0.2	0.1

Table 8-b shows the anti-microbial activity of a third naturally-occurring compound, berberine, at concentrations of 25 and 100 ppm in phosphate-buffered saline. It is evident that 100 ppm berberine has anti-microbial activity against *Pseudomonas aeruginosa* and *Staphylococcus aureus* within the 6-hour challenge period. Berberine may therefore be useful as a preservative or disinfectant agent in a ophthalmic solutions.



**Table 8-b: Anti-Microbial Activity of Berberine at Two Concentrations in Phosphate-Buffered Saline**

	25 ppm Berberine	100 ppm Berberine
<i>S. aureus</i>	0	1.5
<i>P. aeruginosa</i>	0.1	1.8
<i>C. albicans</i>	0	0

Many alterations and modifications may be made by those having ordinary skill in the art without departing from the spirit and scope of the present invention. The words used in this specification to describe the invention and its various embodiments are to be understood not only in the sense of their commonly defined meanings, but to include by special definition in this specification structure, material or acts beyond the scope of the commonly defined meanings. Thus if an element can be understood in the context of this specification as including more than one meaning, then its use in a claim must be understood as being generic to all possible meanings supported by the specification and by the word itself. The definitions of the words or elements of the following claims are, therefore, defined in this specification to include not only the combination of elements which are literally set forth, but all equivalent structure, material or acts for performing substantially the same function in substantially the same way to obtain substantially the same result.